

FORM PTO-1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

NT-6

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/600054

INTERNATIONAL APPLICATION NO.  
PCT/US99/28292INTERNATIONAL FILING DATE  
12/1/1999PRIORITY DATE CLAIMED  
12/3/1998

TITLE OF INVENTION Providing Desired Service Policies to Subscribers Accessing Internet

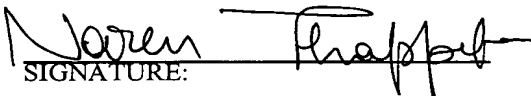
APPLICANT(S) FOR DO/EO/US Nortel Networks Limited

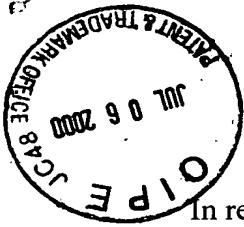
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 37 (b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Return PostCard

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>09/60004</b>		INTERNATIONAL APPLICATION NO. PCT/US99/28292		ATTORNEY'S DOCKET NUMBER NT-6	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$970.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$760.00	
				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	95 - 20 =	75	X \$18.00	\$1,350.00	
Independent claims	8 - 3 =	5	X \$78.00	\$390.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$2,500.00	
Reduction of 1/2 for filing by small entity, if applicable, A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				\$0.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$2,500.00	
				Amount to be: refunded	\$
				charged	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.  b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 20-0674 in the amount of \$2,500.00 cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-0674. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: <div style="float: right; text-align: right;">             SIGNATURE:           <div style="margin-top: 10px;">             Naren Thappeta              NAME           </div> <div style="margin-top: 10px;">             41416              REGISTRATION NUMBER           </div> </div>					



07-10-00

09/600054 Pet

424 Rec'd PCTO 06 JUL 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alles et al.

Appl. No.: UNASSIGNED

Filed: UNASSIGNED

For: Providing Desired Service Policies to  
Subscribers Accessing Internet

Art Unit: UNASSIGNED

Examiner: UNASSIGNED

Atty. Docket: NT-6

EE084871857US

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR § 1.10  
"EXPRESS MAIL" MAILING LABEL NUMBER: EE084871857US  
DATE OF DEPOSIT: July 6, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 with mailing label number filled in above, addressed to the Commissioner of Patents, Washington, D.C. 20231 on the date of deposit filled in above.

Julie K. Adams (Signature)  
By: Naren Thappeta/Julie Adams (Name)  
Signature Date: July 6, 2000

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

COVER LETTER

Sir:

This is a request for filing an application Under 35 U.S.C. 371 claiming priority from international application PCT/US99/28292, filed on December 1, 1999 for "Providing Desired Service Policies to Subscribers Accessing Internet."

The following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Letter to the Designed/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371(2 Pages); and
2. Self-addressed Post Card to be Date Stamped and Returned Via First-Class Mail.

The Honorable Commissioner of  
Patents and Trademarks

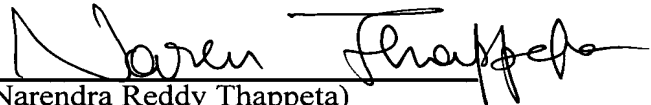
Appl. No.: UNASSIGNED  
Inventor: Alles et al.

2. Self-addressed Post Card to be Date Stamped and Returned Via First-Class Mail.

The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication or any future or past communication associated with the subject matter to Deposit Account No.: 20-0674. If any extensions of time are required to prevent abandonment of the present application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to Deposit Account No.: 20-0674. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

July 6, 2000  
Date

  
(Narendra Reddy Thappeta)  
Registration Number: 41,416

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